



U.Y. Fincorp Limited

(Formerly Known as Golden Goenka Fincorp Limited)

Ref No. UYFL/042024/SE/08

Date: 26.04.2024

To,
BSE Limited
Phiroze Jeejeebhoy Tower,
Dalal Street
Mumbai - 400001
Company Code: 530579

To,
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700001
Company Code: 10017059

Dear Sir,

Sub: Compliance under Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In terms of the Captioned Subject, we are enclosing herewith Compliance Certificate under Clause 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2024.

Kindly acknowledge the above.

Thanking you

Yours Faithfully,
For U. Y. Fincorp Limited

Dinesh Burman
(Executive Director)
(DIN:- 00612904)

[Encl: As above]

U.Y.Fincorp Limited

Registered Office: 16, Strand Road, 9th Floor Room No. 908B,
Kolkata - 700 001, West Bengal, India, ☎ +91 33 4603 2315

Corporate Office: 7th Floor, A-Wing, Vaman Techno Centre,
Marol Makwana Rd, off Andheri-Kurla Rd, Andheri (E),
Mumbai - 400 059, Maharashtra, India.

☎ +91 22 42 300 800 ☎ +91 22 42 300 844

✉ contact@uyfincorp.com, 🌐 www.uyfincorp.com

CIN: L65993WB1993PLC060377



Prateek Kohli & Associates
Company Secretaries

Certificate under Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements), Regulations, 2015

For the Period Ended 31st March, 2024

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. U.Y. Fincorp Limited (Previously Golden Goenka Fincorp Limited) ("Company") maintained by Niche Technologies Private Limited, at 3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata 700017 the Registrar and Share Transfer Agent of the Company for the purpose of issuing a Certificate pursuant to Regulation 40 (9) of the SEBI (Listing Obligations & Disclosure Requirements), Regulations, 2015 and Uniform Listing Agreement entered into by M/s. U.Y. Fincorp Limited with the stock exchanges and based on the information provided by the Company, hereby certify that during the period ended on 31st March, 2024:

- A) The Company has received NIL physical request for transfer of shares, 1 (One) request for physical transmission of 1000 shares, NIL request for rematerialization of Shares, 3 Nos. Duplicate request received for 1600 shares;
- B) There was no request received by the Company for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period.

Date: 26.04.2024
Place: Kolkata
Peer Review Certificate No. 2042/2022
UDIN: F011577F000248011

For Prateek Kohli & Associates
Company Secretaries



Shristi Garg

Shristi Garg
Partner
C.P. No. 17447